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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Application Number 09/856,322 August 20, 2001 Filing Date First Named Inventor Punit S. Ramrakha Art Unit 1632 **Examiner Name** Qian J. Li 02292/000J086-US0 Attorney Docket Number

Total Number of Pages in This Submission (including this Transmittal Form)		on -25-	Attorney Docket Number	02292/000J086-US0					
ENCLOSURES (check all that apply)									
X Fee Transn	nittal Form (1 page)	Drawing(s)		After Allowance Communication to Group					
Fee /	Attached	Licensing-rela	ated Papers	Appeal Communication to Board of Appeals and Interferences					
X Substitute	Amendment (18 pages)	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		Petition to Co Application	nvert to a Provisional	Proprietary Information					
Affidavits/declaration(s)			ney, Revocation rrespondence Address	Status Letter					
Extension of Time Request		Terminal Disc	claimer	X Other Enclosure(s) (please identify below):					
Express Abandonment Request		Request for Refund		See Remarks, below, for listing of other enclosures submitted.					
Information Disclosure Statement		CD, Number	of CD(s)						
Certified Copy of Priority Document(s)									
Response to Missing Parts/ Incomplete Application		Remarks  Response to Notice of Non-Compliant Amendment (Voluntary Revised Practice) (2 pages); Exhibit A to Substitute Amendment: Abstract (1 page); Amendment Transmittal Letter (1 page);							
Response to Missing Parts under 37 CFR 1.52 or 1.53									
							Certificate of Express Mailing Under 37 C.F.R. 1.10 (1 page); and     Return Receipt Postcard		
		SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual name	DARBY & DARBY P.C. Samuel S. Woodley, Ph.D., Reg. No. 43,287								
Signature	Samuel	23. We	per						
Date	October 8, 2003		7						

Express Mail Label No.	102856WS	Dated: 10/8/03

Application No. (if known): 09/856,322

Attorney Docket No.: 02292/000J086-US0

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No.

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Date

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Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- (1) Transmittal Form (1 page);
- (2) Fee Transmittal for FY 2003 (1 page);
- (3) Amendment Transmittal
- (4) Response to Notice of Non-Compliant Amendment (Voluntary Revised Practice) (2 pages);
- (5) Substitute Response to Office Action and Amendment Under 37 C.F.R. 1.111 (18 pages), including Exhibit A: Abstract (1 page);
- (6) Return Receipt Postcard.

AMENDMENT TRANSMITTAL LETTER						Docket No. 02292/000j086-US0				
Applicatio 09/856,		Filing August 2		Examiner Qian J. LI		Art Unit 1632				
Applicant(s): Pun	Applicant(s): Punit S. Ramrakha et al.									
Invention: SUPPRESSION OF XENOTRANSPLANT REJECTION										
TO THE COMMISSIONER FOR PATENTS  Transmitted herewith is an amendment in the above-identified application.  The fee has been calculated and is transmitted as shown below.										
		CLAIM	IS AS AMEN	DED			٦			
Claims Remaining After Amendment		Highest Number Previously Paid	Number Extra Claims Present	ms						
Total Claims	16	- 20 =	0	×	18.00	0.00	1			
Independent Claims	4	- 4 =	0	x	84.00	0.00				
Multiple Depend	dent Claims (ch	eck if applicabl	le)	<del>-</del>						
Other fee (pleas		0.00								
TOTAL ADDIT	IONAL FEE FO	OR THIS AME	NDMENT:		Ť	0.00	٦			
x Large Entity				Sm	nall Entity		_			
		d for this amo	ndment		· · · · · · · · · · · · · · · · · · ·					
No additional fee is required for this amendment.  Please charge Deposit Account No in the amount of \$										
A duplicate copy of this sheet is enclosed.  A check in the amount of \$ to cover the total additional fee is enclosed.										
Payment by credit card. Form PTO-2038 is attached.										
X The Director as described	is hereby auth below. A dup				ccount No	o. <u>04-0100</u>				
x Credit a	ny overpaymer	nt.								
x Charge a	any additional fili	ing or application	on processing	fees require	ed under 3	7 CFR 1.16 and 1.17.				
Samuel S. Woo	<u>೩೩. ಬಹ</u>	1200		Da	ted:	October 8, 2003	_			
Attorney Reg. N		0								
DARBY & DARBY P.C. P.O. Box 5257										
New York, New (212) 527-7766		5257								
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Express Mail Label No Dated:										

Dated: 10/8/03

Docket No.: 02292/000J086-US0

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Punit S. Ramrakha *et al*.

Application No.: 09/856,322

Group Art Unit: 1632

Filing Date: August 20, 2001

Examiner: Qian J. LI

For: SUPPRESSION OF XENOTRANSPLANT

REJECTION

# RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT (VOLUNTARY REVISED PRACTICE)

Mail Stop Non-Fee Amendment Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice of Non-Compliant Amendment (Voluntary Revised Practice) mailed by the U.S. Patent and Trademark Office on September 15, 2003 for this matter (hereinafter referred to as the "Notice"), Applicants submit herewith a Substitute Response to Office Action and Amendment under 37 CFR 1.111 (hereinafter referred to as the "Substitute Response"). The Substitute Response is believed to comply with the current requirements under 37 CFR 1.121. In particular, the Substitute Response includes a complete listing of all the claims in this application, including cancelled claims 1-16 which were inadvertently omitted from Applicant's original response (i.e., from the Response to Office Action and Amendment under 37 CFR 1.111 submitted by Applicants on August 18, 2003 for this application).

It is believed that no Extension of Time and no fee are required for this Substitute Response, as such Extension fees and other relevant fees were paid and accepted with Applicant's original response of August 18, 2003. Nevertheless, should the U.S. Patent and

Trademark Office determine that any additional fee is required or than any refund is owed for this application, the Commissioner is respectfully requested to charge the fee(s) owed and/or credit the refund due to our Deposit Account No. 04-0100. A Fee transmittal sheet accompanies this submission, authorizing the Commissioner to credit any over payments and to charge any additional fees to the aforementioned account.

Respectfully submitted,

Dated: October 8, 2003

Samuel S. Woodley, Ph.D.

Registration No.: 43,287

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Attorneys/Agents For Applicant

Docket No.: 02292/000J086-US0

Group Art Unit: 1632

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Punit S. Ramrakha *et al.* 

Application No.: 09/856,322

Filing Date: August 20, 2001 Examiner: Qian J. LI

For: SUPPRESSION OF XENOTRANSPLANT

REJECTION

## SUBSTITUTE RESPONSE TO OFFICE ACTION AND AMENDMENT UNDER 37 C.F.R. 1.111

Mail Stop Non-Fee Amendment Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

#### INTRODUCTORY COMMENTS

In response to the Official Action mailed on March 18, 2003 and in accordance with Rule 111 of the Rules of Practice, please enter the following amendments and consider the accompanying remarks. Applicants also request entry of the submissions and fees referenced on lines 3-10 of the Introductory Comments to Applicant's August 18, 2003 response.

It is believed that no additional fees are required for these submissions. However, should the United States Patent and Trademark Office determine that any additional fee is required or that any refund is owed for this application, the Commissioner is hereby authorized and requested to charge the required fee(s) and/or credit any refund(s) owed to our Deposit Account No. 04-0100.